

BOX PCT  
PATENT  
0599-0205P

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: Hikaru SUGII et al.

INTERNATIONAL APPL. NO.: PCT/JP00/02515

APPL. NO.: 09/719,075

Conf.:

FILED: March 12, 2001

FOR: BIAZIALLY ORIENTED POLYESTER  
FILM, PRODUCTION METHOD  
THEREOF, AND MAGNETIC  
RECORDING MEDIUM

LETTER SUBMITTING ADDITIONAL DOCUMENTS FOR  
ENTERING NATIONAL PHASE FOR A PCT APPLICATION

BOX PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

March 12, 2001

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

Executed Declaration and Power of Attorney.

Original       Photocopy

The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on March 12, 2001, including any

amendments thereto (if applicable) filed on even date therewith.

- The undersigned hereby declares that "Attorney Docket No. 0599-0205P" on page 1 of the attached inventors' Declaration corresponds to Appl. No. 09/719,075 filed March 12, 2001 entitled "BIAZIALLY ORIENTED POLYESTER FILM, PRODUCTION METHOD THEREOF, AND MAGNETIC RECORDING MEDIUM."
- English language specification, claims, and Abstract with ( ) sheets of drawings.
- Applicant claims small entity status under 37 C.F.R. § 1.27.
- Attached is a copy of Form PCT/DO/EO/905.
- \_\_\_\_\_

No extension fee is required because the undersigned has not yet received the Notification of Missing Requirements (Form PCT/DO/EO/905). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Applicant(s) hereby respectfully petitions for one (1) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and

37 C.F.R. § 1.17. The required fee of \$110.00 is attached hereto.

The Government Filing Surcharge in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 is enclosed herewith.

- Submitted concurrently herewith under separate cover for recording is an Assignment.
- A check in the amount of \$240.00 to cover the above-mentioned fees is enclosed.
- A Fee of \$0.00 to cover the increase in fees of the filing Surcharge is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

  
Andrew D. Meikle, #32,868

CPH  
ADM/CFA/csp  
0599-0205P

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Falls Church, VA 22040-0747  
(703) 205-8000

Attachments

(Rev. 01/22/01)

Papers Filed herewith on: March 12<sup>th</sup>, 2001 4  
DOCKET NO: 0594-0205P ATTY: AOU/KF4  
APPLICANT(S): SUGI et al.  
APPLN. NO: 04/719,075 FILED: 3-12-01  
PAT NO.: \_\_\_\_\_

- New Application with Transmittal Letter  
 Utility     Design     CIP     PCT     Provisional  
 Filing Under 37 CFR 1.53(b)     CONT     DIV  
 Filing Under 37 CFR 1.53(d) (CPA)  
 Filing Under 37 CFR 1.114(RCE)  
 Specification Consisting of: \_\_\_\_\_ pages  
 Combined Declaration & Power of Attorney  
 Assignment / Cover Letter  
 Letter to Official Draftsman  
 Drawings \_\_\_\_\_ Sheets     Formal     Informal     Red Ink  
 Completion of Filing Requirements, PCT/DO/EO/905 or Formalities Letter and Executed Declaration  
 Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
 Amendment:  
     Transm Ltr     Large Entity     Small Entity  
 Response \_\_\_\_\_  
 Information Discl Stmt. PTO-1449(s) \_\_\_\_\_ ref(s)  
 Notice of Appeal     Appeal Brief  
 Issue Fee Transmittal  
 FEES: \$240.00 - CL #5024399  
 Letter: \_\_\_\_\_  
 Other: \_\_\_\_\_

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Due Date: 3-25-01  
Handcarry: \_\_\_\_\_

**BIRCH, STEWART, KOLASCH AND BIRCH, LLP**

CPO ACCOUNT  
8110 GATEHOUSE ROAD, SUITE 500 EAST

P.O. BOX 747

FALLS CHURCH, VA 22040-0747

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Two Hundred, Forty & 00/100 Dollars

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Alexandria, Virginia

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I.D. NO.

DATE

AMOUNT

03/12/01

\$240.00

FOR surcharge fee

507965 0599-0205P

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**5024399**

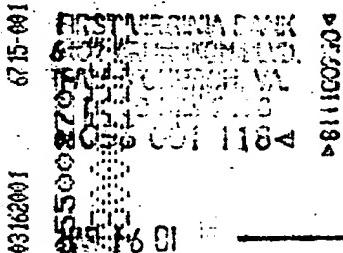
"05024399" "0560010791" "202564983"

PATENT AND TRADEMARK OFFICE  
13-10-0001  
03-15-2001  
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09/719875



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U.S. APPLICATION NO.		FIRST NAMED APPLICANT	H	ATTY/DOCKET NO.
09/719,875	SUGI T			1205P

002292 5071  
BIRCH STEWART KOLASCH & BIRCH  
8110 GATEHOUSE ROAD  
SUITE 500 EAST  
FALLS CHURCH VA 22042

2-25-01 ES  
DOCKETED  
Perfect

INTERNATIONAL APPLICATION NO.  
PCT/JP00/02515

I.A. FILING DATE	PRIORITY DATE
04/18/00	04/19/99

01/25/01

DATE MAILED:

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as  a Designated Office (37 CFR 1.494),  an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee.
- Copy of the international application in:
  - a non-English language.
  - English.
- Translation of the international application into English.
- Oath or Declaration of inventors(s) for DO/EO/US.
- Copy of Article 19 amendments.
- Translation of Article 19 amendments into English.
- The International Preliminary Examination Report in English and its Annexes, if any.
- Translation of Annexes to the International Preliminary Examination Report into English.
- Preliminary amendment(s) filed 19 DEC 2000 and \_\_\_\_\_.
- Information Disclosure Statement(s) filed 19 DEC 2000 and \_\_\_\_\_.
- Assignment document.
- Power of Attorney and/or Change of Address.
- Substitute specification filed \_\_\_\_\_.
- Statement Claiming Small Entity Status.
- Priority Document.
- Copy of the International Search Report  and copies of the references cited therein.
- Other: RO 101

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$\_\_\_\_\_ as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY  21 OR  31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed:  PCT/DO/EO/917     Notice of Defective Translation  
 PTO-875

FORM PCT/DO/EO/905 (December 1997)

Franchise Young  
National Stage Processing  
Paralegal Specialist  
(703) 305-3662